

Selective Oxidation of Unsaturated Alcohols Catalyzed by Sodium Nitrite and 2,3-Dichloro-5,6-dicyano-1,4-benzoquinone with Molecular Oxygen under Mild Conditions

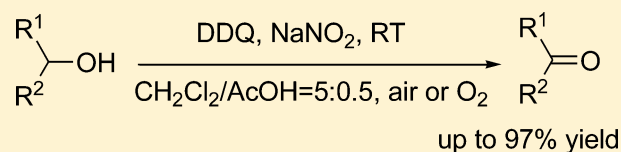
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S Supporting Information

ABSTRACT: We have developed a simple and practical process for the oxidation of alcohols to the corresponding carbonyl compounds by using a low catalytic amount of DDQ, NaNO₂ as a cocatalyst, and molecular oxygen as terminal oxidant. Nitric oxide generated in situ by NaNO₂ in the presence of AcOH is essential for the realization of the catalytic cycle at room temperature. The practical utility of this catalytic process has been demonstrated in



the gram-scale oxidation of cinnamyl alcohol.

The selective oxidation of alcohols into carbonyl compounds is one of the most important transformations in organic synthetic chemistry.¹ Although traditional methods with stoichiometric amounts of oxidants such as MnO₂, chromium salts, and the Dess–Martin reagent are useful, large amounts of toxic waste are produced.² From both economic and environmental viewpoints, the use of green oxidants, such as molecular oxygen as terminal oxidant, is the focus of great attention because dioxygen is inexpensive and water is produced as the only byproduct. However, dioxygen is inert. There is a high energy barrier between organic compounds and dioxygen at room temperature. At higher temperatures, non-selective radical reactions preferentially take place. Although transition metals or transition metal complexes have been shown to be capable of catalyzing the aerobic oxidation of alcohols with molecular oxygen,³ these methods are still suffering from drawbacks such as the use of expensive noble metals (e.g., Pd, Pt, Ru, Au), or complexes thereof, and commercially unavailable ligands. To address some of these limitations, the development of efficient, transition-metal-free catalytic processes for the aerobic oxidation of alcohols appears very attractive.⁴ Our group is particularly interested in this subject.

We were inspired by the ability of 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) to act as a highly effective oxidant for many reactions.⁵ Although DDQ is an efficient oxidant for the oxidation of alcohols, stoichiometric or even excess amounts of DDQ have been used.⁶ Hence, the development of processes requiring only catalytic amounts of DDQ are of great practical relevance. Very recently, Helquist's group reported a catalytic DDQ (20 mol %) catalyzed alcohol oxidation with 6 equiv of Mn(OAc)₃ as oxidant.⁷ In terms of atom economy and environmental aspects, the use of excess amounts of Mn(OAc)₃ as terminal oxidant is undesirable because of large amounts of unwanted byproducts. During the revision of this manuscript, Hu's group reported DDQ/TBN catalytic system for the oxidation

of alcohols in 1,2-dichloroethane under 0.2 MPa O₂ at 80 °C.⁸ Here, we report a method by which a low catalytic amount of DDQ combined with NaNO₂ as a cocatalyst in the presence of AcOH can be successfully used for the room temperature oxidation of alcohols to carbonyl compounds using molecular oxygen as terminal oxidant.

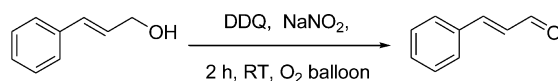
Initially, we selected cinnamyl alcohol as model substrate, using 1 mol % DDQ in CH₂Cl₂ under O₂ atmosphere (balloon) in the presence of 10 mol % NaNO₂ at room temperature. The yield of cinnamaldehyde was only 5% (Table 1, entry 1). When a solvent mixture of CH₂Cl₂/AcOH (5/0.1, v/v) was used, cinnamaldehyde was obtained in 24% yield after 2 h (Table 1, entry 2). This very promising result indicated that AcOH apparently plays an important role for obtaining a good catalytic activity.⁹ By increasing the amount of AcOH, a yield of 92% cinnamaldehyde was obtained in a CH₂Cl₂/AcOH (5/0.5, v/v) solvent mixture (Table 1, entry 3). A good result could also be obtained in toluene/AcOH (Table 1, entry 4). Other solvents such as CH₃CN/AcOH, THF/AcOH, dioxane/AcOH, and ethyl acetate/AcOH showed a poor performance under otherwise identical reaction conditions (Table 1, entries 5–8). When the amount of DDQ was reduced to 0.5 mol %, cinnamaldehyde was obtained in 75% yield (Table 1, entry 9). The presence of NaNO₂ was also considered, and we discovered that 10 mol % of NaNO₂ were optimal (Table 1, entries 10, 11). The catalytic system showed poor reactivity without DDQ or NaNO₂ (Table 1, entries 12, 13). Another important advantage of this catalytic system is that an air atmosphere in place of a dioxygen balloon also results in good yields (Table 1, entries 14, 15). It was also found that AcOH as a solvent is effective (Table 1, entry 16).

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Table 1. Optimization of the Oxidation Conditions



entry	DDQ (mol %)	NaNO ₂ (mol %)	solvent (v/v)	yield ^a (%)
1	1	10	CH ₂ Cl ₂	5
2	1	10	CH ₂ Cl ₂ /AcOH(5/0.1)	24
3	1	10	CH ₂ Cl ₂ /AcOH(5/0.5)	92
4	1	10	toluene/AcOH(5/0.5)	68
5	1	10	THF/AcOH(5/0.5)	6
6	1	10	ethylacetate/AcOH(5/0.5)	27
7	1	10	CH ₃ CN/AcOH (5/0.5)	7
8	1	10	dioxane/AcOH(5/0.5)	14
9	0.5	10	CH ₂ Cl ₂ /AcOH(5/0.5)	75
10	1	5	CH ₂ Cl ₂ /AcOH(5/0.5)	89
11	1	2.5	CH ₂ Cl ₂ /AcOH(5/0.5)	79
12		10	CH ₂ Cl ₂ /AcOH(5/0.5)	5
13	1		CH ₂ Cl ₂ /AcOH(5/0.5)	5
14 ^b	1	5	CH ₂ Cl ₂ /AcOH(5/0.5)	74
15 ^b	1	10	CH ₂ Cl ₂ /AcOH(5/0.5)	89
16	1	10	AcOH	84

^aDetermined by GC using internal standard. ^bUnder air atmosphere.

Having identified the optimized reaction conditions, we turned our attention to the examination of scope and limitation of this catalytic oxidation system. The results are summarized in Table 2. The results in Table 2, entries 1–3 indicate that our catalytic system shows high reactivity toward conjugated allylic alcohols. There are only a few reports on the aerobic oxidation of propargylic alcohols.¹⁰ α -Acetylenic carbonyl compounds are very useful precursors in organic synthesis. In the present catalytic system, the oxidation of propargylic alcohols could afford the desired products in excellent yields (Table 2, entries 4–8). Alcohols having only α -hydrogens were converted to the corresponding aldehyde in 95% yield (Table 2, entry 4). It was found that propargylic alcohols with an aryl substituent in the 3-position show high reactivity (Table 2, entries 5, 6). We also studied longer reaction times, and the loading of NaNO₂ was increased to 20 mol % for 1-phenylprop-2-yn-1-ol oxidation (Table 2, entry 6). The aliphatic propargylic alcohol hex-4-yn-3-ol was less reactive, affording the corresponding ketone in 20% yield by prolonging the reaction time and increasing the loading of NaNO₂ to 20 mol % (Table 2, entry 9). Next, the oxidation of benzylic alcohols was examined (Table 2, entries 10–18). Benzyl alcohols with methoxy substitution were converted to the corresponding aldehydes in excellent yields (Table 2, entries 10–13). 3,4-Dimethoxybenzyl alcohol, a lignin model compound, could be successfully oxidized into the desired product in 96% yield (Table 2, entry 10). Benzyl alcohols with hydroxyl substitution in the *p*-position served as good substrates and afforded the desired products in good yields, which indicated that hydroxyl substitution on the phenyl group was unaffected at the reaction conditions (Table 2, entries 14, 15). 4-Methylbenzyl alcohol was converted to 4-methylbenzaldehyde in 53% yield. The improvement of the yield was observed in the case of using 10 mol % of DDQ (Table 2, entries 16, 17). 9-Hydroxyfluorene was converted to provide the corresponding ketone in good yield (Table 2, entry 19). Electron-deficient benzylic alcohols showed low reactivity. Only a 6% yield of 4-chlorobenzaldehyde was obtained (Table 2, entry 20). Compared with allylic, propargylic, and electron-rich

benzylic alcohols, saturated aliphatic alcohols failed to afford the desired products (Table 2, entries 21, 22).

Next we used the DDQ/NaNO₂ catalytic system for selective oxidation of alcohols (Table 3). When a mixture of cinnamyl alcohol and benzyl alcohol was used, cinnamyl alcohol was fully consumed, whereas only 2% of the benzyl alcohol was oxidized. The same feature was observed for a mixture of cinnamyl alcohol and 1-phenylethanol. Similarly, for a mixture of 3-phenylprop-2-yn-1-ol and benzyl alcohol, 94 and 7% conversions were obtained after 10 h, respectively. The competing reaction between 3-phenylprop-2-yn-1-ol and 1-phenylethanol showed 96% conversion of 3-phenylprop-2-yn-1-ol, whereas only 10% conversion of 1-phenylethanol was obtained. The oxidation of a mixture of cinnamyl alcohol and 4-methoxybenzyl alcohol was also investigated, and the conversions were 100 and 15%, respectively. These results clearly indicate that allylic and propargylic alcohols could be oxidized selectively in the presence of benzylic alcohols. In addition, to address the selectivity between allylic and propargylic alcohols, a mixture of cinnamyl alcohol and 3-phenylprop-2-yn-1-ol was used. Cinnamyl alcohol was fully consumed, whereas only 9% of the 3-phenylprop-2-yn-1-ol was oxidized. To address a comparison of primary versus secondary propargylic alcohols, the competing reaction between 3-phenylprop-2-yn-1-ol and 1-phenylhex-1-yn-3-ol showed 100% conversion of 1-phenylhex-1-yn-3-ol, whereas only 35% conversion of 3-phenylprop-2-yn-1-ol was obtained.

Finally, the practical applicability of this catalytic system is also demonstrated. We used cinnamyl alcohol as a test substrate and worked on a gram scale.¹¹ A 50 mmol (6.7 g) reaction of cinnamyl alcohol was performed with 2 mol % of DDQ and 3 mol % of NaNO₂ in AcOH under oxygen atmosphere (balloon) at room temperature. The desired product was obtained in 85% yield within 22 h. These results suggest that our system is a highly active, selective, and practical process for aerobic alcohol oxidation.

On the basis of our work and the pertinent literature,^{4c,5g,12,13} a plausible overall mechanism for the present aerobic alcohol oxidation is shown in Scheme 1. By combining two redox

Table 2. Oxidation of Alcohols Catalyzed by DDQ/NaNO₂^a

Entry	Substrate	Product	DDQ (mol%)	Time (h)	Yield ^b (%)
1			1	2	92
2 ^{c,d}			20	20	70
3 ^{c,d}			20	20	17
4			10	10	95
5			5	6	94
6 ^d			10	30	84
7			3	10	82
8			5	6	97
9			10	30	20
10			5	6	96
11			5	6	92
12			5	5	97
13			5	8	97
14			3	5	90
15			3	5	90
16			5	18	53
17			10	18	83
18			10	18	87
19			10	8	78
20			10	12	6
21			20	12	0
22			20	12	0

^aReaction conditions: alcohols (1 mmol), DDQ, NaNO₂ (10 mol %), CH₂Cl₂/AcOH (5/0.5), rt, O₂ balloon. ^bYields are given for isolated products. ^cAcOH as a solvent. Determined by GC. ^d20 mol % NaNO₂.

couples, DDQ/DDQH₂ and NO₂/NO, the selective oxidation of alcohols to carbonyl compounds is achieved with molecular oxygen as terminal oxidant. It is proposed that NaNO₂ releases NO in the presence of AcOH,^{12a,b} and then NO is easily oxidized by dioxygen to form NO₂. DDQ is the catalytic oxidant, oxidizing alcohols to the desired products. The reduced DDQH₂ is subsequently regenerated by NO₂, leading to DDQ and NO. Finally, NO can be reoxidized to NO₂ by dioxygen, thus completing the catalytic cycle.

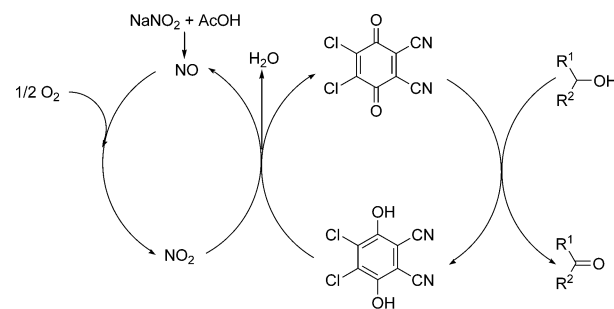
In conclusion, we have developed a mild, simple, practical, transition-metal-free catalytic process for the aerobic oxidation of alcohols. The oxidation is carried out with catalytic amounts of DDQ/NaNO₂ in the presence of AcOH under air or dioxygen atmosphere (balloon) at room temperature. Propargylic alcohols can also be smoothly converted into the corresponding aldehydes or ketones in high yields. In addition, this catalytic system can be very effective for the oxidation of lignin

Table 3. Selective Oxidation of Alcohols Mixtures Catalyzed by DDQ/NaNO₂^a

Entry	Substrates	Products	Conversion ^b (%)
1 ^c			100
			2
2 ^c			100
			2
3 ^d			94
			7
4 ^d			96
			10
5 ^c			100
			15
6 ^c			100
			9
7 ^e			35
			100

^aReaction conditions: alcohols (1 mmol each), DDQ, NaNO₂ (10 mol %), rt, O₂ balloon. ^bDetermined by GC. ^c1 mol % DDQ, 2 h. ^d10 mol % DDQ, 10 h. ^e5 mol % DDQ, 3 h.

Scheme 1. Proposed Catalytic Cycle for the Aerobic Oxidation



model compounds. Moreover, our newly developed catalytic process shows a high chemoselective oxidation of allylic and propargylic alcohols over benzylic alcohols. Very importantly, the catalytic system is very easy to handle. The success of a catalytic amount of DDQ with a cocatalyst NaNO₂ under acidic conditions for the aerobic alcohol oxidation might also be useful for other DDQ-mediated reactions.

EXPERIMENTAL SECTION

Typical Procedure for the Oxidation of Cinnamyl Alcohol. DDQ (2.3 mg, 0.01 mmol) was dissolved in 5 mL of CH_2Cl_2 and 0.5 mL of AcOH. The solution was stirred open to air at ambient temperature, and then cinnamyl alcohol (134.1 mg, 1 mmol) was added, followed by NaNO_2 (6.9 mg, 0.1 mmol). The solution was stirred under dioxygen atmosphere (balloon) for 2 h. The reaction mixture was loaded directly on a small pad of silica, and the product was eluted with dichloromethane. The solvent was concentrated in vacuo, and the product was further purified by column chromatography over silica gel (*n*-hexane/ethyl acetate, 10:1) to afford cinnamaldehyde (121.5 mg, yield, 92%).

Large-Scale Reaction Procedure for the Oxidation of Cinnamyl Alcohol.¹¹ *One-Gram Reaction, $\text{CH}_2\text{Cl}_2/\text{AcOH}$ Mixture as Solvent.* To a 100 mL, three-necked flask was added DDQ (16.9 mg, 0.0746 mmol), 37 mL of CH_2Cl_2 , and 3.7 mL of AcOH. The solution was stirred open to air at ambient temperature, and then cinnamyl alcohol (1 g, 7.46 mmol) was added, followed by NaNO_2 (25.7 mg, 0.373 mmol). The solution was stirred under dioxygen atmosphere (balloon). The reaction was monitored by TLC. After completion of the reaction, the reaction mixture was loaded directly on a small pad of silica, and the product was eluted with dichloromethane. The solvent was concentrated in vacuo, and the product was further purified by column chromatography over silica gel (*n*-hexane/ethyl acetate, 10:1) to afford cinnamaldehyde (0.886 g, yield, 90%).

AcOH as Solvent. To a 25 mL, three-necked flask was added DDQ (16.9 mg, 0.0746 mmol) and 5 mL of AcOH. The solution was stirred open to air at ambient temperature, and then cinnamyl alcohol (1 g, 7.46 mmol) was added, followed by NaNO_2 (25.7 mg, 0.373 mmol). The solution was stirred under dioxygen atmosphere (balloon). The reaction was monitored by TLC. After completion of the reaction, the reaction mixture was loaded directly on a small pad of silica, and the product was eluted with dichloromethane. The solvent was concentrated in vacuo, and the product was further purified by column chromatography over silica gel (*n*-hexane/ethyl acetate, 10:1) to afford cinnamaldehyde (0.866 g, yield, 88%).

Cinnamaldehyde.¹⁴ Table 2, entry 1, light yellow liquid: ^1H NMR (400 MHz, CDCl_3) δ 6.55–6.61 (m, 1H), 7.28–7.35 (m, 4H), 7.40–7.43 (m, 2H), 9.55 (d, $^3J_{\text{H,H}} = 8.0$ Hz, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 128.2, 128.3, 128.8, 131.0, 133.7, 152.7, 193.6.

Phenylpropionaldehyde.¹⁵ Table 2, entry 4, yellow liquid: ^1H NMR (400 MHz, CDCl_3) δ 7.36 (t, $^3J_{\text{H,H}} = 7.6$ Hz, 2H), 7.45 (t, $^3J_{\text{H,H}} = 8.0$ Hz, 1H), 7.57 (d, $^3J_{\text{H,H}} = 7.2$ Hz, 2H), 9.38 (s, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 88.3, 95.1, 119.2, 128.6, 131.2, 133.1, 176.8.

1,3-Diphenyl-2-propyn-1-one.¹⁶ Table 2, entry 5, yellow liquid: ^1H NMR (400 MHz, CDCl_3) δ 7.39 (t, $^3J_{\text{H,H}} = 7.6$ Hz, 2H), 7.51–7.43 (m, 3H), 7.60 (t, $^3J_{\text{H,H}} = 7.6$ Hz, 1H), 7.66 (d, $^3J_{\text{H,H}} = 7.2$ Hz, 2H), 9.38 (s, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 86.8, 93.0, 119.9, 128.5, 128.6, 129.4, 130.7, 132.9, 134.0, 136.7, 177.8.

1-Phenyl-2-propyn-1-one.¹⁵ Table 2, entry 6, yellow solid: ^1H NMR (400 MHz, CDCl_3) δ 3.44 (s, 1H), 7.49 (t, $^3J_{\text{H,H}} = 7.6$ Hz, 1H), 7.63 (t, $^3J_{\text{H,H}} = 7.2$ Hz, 1H), 8.16 (d, $^3J_{\text{H,H}} = 8.0$ Hz, 2H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 80.2, 80.7, 128.7, 129.6, 134.5, 136.1, 177.3.

1-Phenylhex-1-yn-3-one.¹⁷ Table 2, entry 8, orange liquid: ^1H NMR (400 MHz, CDCl_3) δ 0.973 (t, $^3J_{\text{H,H}} = 7.2$ Hz, 3H), 1.73–1.78 (m, 2H), 2.60–2.63 (m, 2H), 7.36 (d, $^3J_{\text{H,H}} = 6.4$ Hz, 2H), 7.41 (d, $^3J_{\text{H,H}} = 6.0$ Hz, 1H), 7.54 (d, $^3J_{\text{H,H}} = 7.2$ Hz, 2H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 13.2, 17.4, 47.1, 87.6, 90.2, 119.8, 128.4, 130.4, 132.7, 187.8.

3,4-Dimethoxybenzaldehyde.¹⁴ Table 2, entry 10, light yellow acicular crystal: ^1H NMR (400 MHz, CDCl_3) δ 3.84 (s, 3H), 3.85 (s, 3H), 6.87 (d, $^3J_{\text{H,H}} = 8.0$ Hz, 2H), 7.34 (d, $^3J_{\text{H,H}} = 8.4$ Hz, 2H), 9.73 (s, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 55.6, 55.3, 108.6, 110.1, 126.5, 129.8, 149.3, 154.2, 190.6.

3,4,5-Trimethoxybenzaldehyde.¹⁸ Table 2, entry 11, pale yellow solid: ^1H NMR (400 MHz, CDCl_3) δ 3.92 (s, 6H), 3.93 (s, 3H), 7.12 (s, 2H), 9.86 (s, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 56.2, 61.0, 106.7, 131.7, 153.6, 191.0.

4-Methoxybenzaldehyde.¹⁴ Table 2, entry 12, light yellow liquid: ^1H NMR (400 MHz, CDCl_3) δ 3.88 (s, 3H), 7.00 (d, $^3J_{\text{H,H}} = 8.8$ Hz, 2H), 7.83 (d, $^3J_{\text{H,H}} = 8.8$ Hz, 1H), 9.88 (s, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 55.2, 114.0, 129.6, 131.6, 164.3, 190.5.

1-(4-Methoxyphenyl)ethanone.¹⁹ Table 2, entry 13, light yellow solid: ^1H NMR (400 MHz, CDCl_3) δ 2.47 (s, 3H), 3.78 (s, 3H), 6.85 (d, $^3J_{\text{H,H}} = 8.4$ Hz, 2H), 7.85 (d, $^3J_{\text{H,H}} = 8.4$ Hz, 2H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 26.1, 55.2, 113.5, 130.1, 130.4, 163.3, 196.6.

4-Hydroxybenzaldehyde.²⁰ Table 2, entry 15, light yellow acicular crystal: ^1H NMR (400 MHz, DMSO) δ 6.93 (d, $^3J_{\text{H,H}} = 8.8$ Hz, 1H), 7.76 (d, $^3J_{\text{H,H}} = 8.8$ Hz, 2H), 9.78 (s, 1H), 10.58 (s, 1H); ^{13}C NMR (100.6 MHz, DMSO) δ 116.0, 128.6, 132.3, 163.5, 191.1.

4-Methylbenzaldehyde.¹⁴ Table 2, entry 17, colorless liquid: ^1H NMR (400 MHz, CDCl_3) δ 2.44 (s, 3H), 7.33 (d, $^3J_{\text{H,H}} = 8.0$ Hz, 2H), 7.77 (d, $^3J_{\text{H,H}} = 8.0$ Hz, 2H), 9.96 (s, 1H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 22.5, 130.3, 130.4, 134.8, 146.1, 192.6.

4-Methylacetophenone.²¹ Table 2, entry 18, light yellow liquid: ^1H NMR (400 MHz, CDCl_3) δ 2.35 (s, 3H), 2.52 (s, 3H), 7.20 (d, $^3J_{\text{H,H}} = 8.4$ Hz, 2H), 7.81 (d, $^3J_{\text{H,H}} = 8.4$ Hz, 2H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 21.3, 26.2, 128.1, 128.9, 134.4, 143.6, 197.5.

9-Fluorenone.²² Table 2, entry 19, yellow solid: ^1H NMR (400 MHz, CDCl_3) δ 7.27 (d, $^3J_{\text{H,H}} = 7.2$ Hz, 2H), 7.50–7.44 (m, 4H), 7.64 (d, $^3J_{\text{H,H}} = 7.2$ Hz, 2H); ^{13}C NMR (100.6 MHz, CDCl_3) δ 120.2, 124.2, 128.9, 134.0, 134.5, 144.3, 193.8.

ASSOCIATED CONTENT

Supporting Information

^1H and ^{13}C NMR spectra of the isolated products. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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